**Pattern of Administration for Departments and Schools**

**Information and Instructions for Required Outline**

A department or school’s Pattern of Administration (POA) should strike a balance between assuring active and meaningful involvement of the faculty in the governance of the unit and recognizing that the department chair or school director (hereafter, TIU head) has ultimate responsibility for the unit’s administration.

A unit may develop advisory bodies to consider and make recommendations on any issue requiring a decision, from course assignments to salary recommendations, but the TIU head must retain responsibility for the final decision or recommendation to a higher level of administration. The TIU head has ultimate responsibility for allocating the unit’s resources in a way that makes the most fiscal and programmatic sense and cannot delegate that responsibility.

The POA should not include content that overlaps material required in the TIU’s Appointments, Promotion, and Tenure (APT) document. Redundant content in the POA and APT documents serves no purpose and often results in inconsistencies. The only exception is the mission statement, which must be reproduced identically in both the POA and APT documents.

The POA should refer to and be consistent with the [University Faculty Rules](https://trustees.osu.edu/bylaws-and-rules/university-faculty-rules). It is inadvisable to quote rules extensively, given that such passages will not reflect later revisions of the Rules. In place of quoted material, cite the relevant Rule number and embed the web address within it to comply with accessibility guidelines. If quoting from the rules is deemed essential, please clearly demarcate the quotation (indent and/or italicize).

The POA must include a cover page with college name, TIU name, and date reviewed by faculty and dean. Include a table of contents and paginate the POA. OAA maintains a digital collection of governance documents on its own [website](https://www.osu.edu/assets/brand/ohiostate-editorialstyle.pdf) and encourages units to make their POA available on their websites.

The required outline in the accompanying POA guideline document provides actual content and language that could be adopted in its present form or modified to better suit the particular needs of a unit. The content and language are based on university rules and policies as well as on common practices that work well for many units. Because a common format is needed to facilitate reference to POA documents, TIU heads are to follow the required outline, except for sections that do not pertain to a unit (e.g., Columbus campus units that do not appoint clinical/teaching/professional practice or research faculty or do not have faculty on the regional campuses do not need to include information relevant to those appointments).

Include current references to all university titles, rules, policies, offices, and entities. Web addresses should be embedded to comply with accessibility guidelines. See the [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook), Chapter 1, Section 3.0: Updating Obsolete Material, for a summary of commonly found obsolete references that must be corrected before governance documents are submitted for review.

Items in red italicized text in the accompanying POA guideline document that follows are notes and comments; they are not to appear in a TIU’s POA.

*The sections that follow are numbered according to the corresponding sections in the accompanying POA guideline document and are linked to them.*

# I [Introduction](#Introduction)

Provide an introductory statement that, at minimum includes the information that the POA must be reviewed and either revised or reaffirmed on appointment or reappointment of the TIU head.

# II [TIU mission](#TIUmission)

Include the TIU’s academic mission. This statement must also appear in the TIU’s APT document. This is the only example of duplicated material in the two documents; the language must be identical in both.

Within the TIU’s academic mission statement:

* identify the audiences of the unit’s teaching, research and creative activity, engagement, and service;
* explain how these audiences affect the nature of its teaching, research and creative activity, engagement, and service; and
* establish the relative importance of the various kinds of faculty effort in the context of the mission.

As part of its mission, the unit should set the goal of increasing the quality of its endeavors. In addition, the unit should assure that its guidelines on faculty duties, responsibilities, and workload (see the [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook)*,* Chapter 2, Section 1.4.3: Duties and Responsibilities) included in its POA are consistent with its mission and its criteria for appointments, promotion, and tenure, and for merit salary increases and other rewards.

# III [Academic rights and responsibilities](#Academicrights)

Include the link to the university’s [reaffirmation of academic rights and responsibilities](https://oaa.osu.edu/academic-rights-and-responsibilities).

# IV [Faculty and voting rights](#Facultyvotingrights)

Describe who is considered a faculty member in the TIU for voting purposes and for purposes of consultation (if the two are different). TIUs differ in how they handle granting voting rights to joint appointments (both salaried and non-salaried). Joint-appointed faculty may vote on promotion and tenure cases only in their TIU (see [Faculty Appointments Policy](https://oaa.osu.edu/sites/default/files/links_files/facultyappointments_1.pdf)).

This section also could describe who is considered a member of the graduate faculty if this information is not contained in a separate graduate handbook.

TIUs with clinical/teaching/professional practice faculty are to define clinical/teaching/professional practice faculty, list their titles (see [Faculty Rule 3335-7-02](https://trustees.osu.edu/bylaws-and-rules/3335-7)), and state what governance rights will be extended to them. Base the statement of the voting rights of clinical/teaching/professional practice faculty on the language in this section of the accompanying POA guideline document as appropriate.

TIUs with research faculty are to define research faculty, list their titles ([see Faculty Rule 3335-7-31](https://trustees.osu.edu/bylaws-and-rules/3335-7)), and state what governance rights will be extended. Base the statement of the voting rights of research faculty on the language in this section of the accompanying POA guideline document as appropriate.

# A [Clinical/teaching/professional practice faculty appointment cap](#CTPcap)

State what appointment cap is in effect for clinical/teaching/professional practice faculty. In accordance with Faculty Rule [3335-7-03](https://trustees.osu.edu/bylaws-and-rules/3335-7), unless an exception is approved by the University Senate and the Board of Trustees,

* clinical/teaching/professional practice faculty may comprise no more than 40% of the total tenure-track, clinical/teaching/professional practice, and research faculty in each of the colleges of the health sciences, although clinical departments within the College of Medicine have no cap on clinical faculty;
* clinical/teaching/professional practice faculty may comprise no more than 20% of the tenure-track, clinical/teaching/professional practice, and research faculty in the College of Arts and Sciences and the professional colleges;
* in all TIUs not in the health sciences, the number of clinical/teaching/professional practice faculty members must be fewer than the number of tenure-track faculty members in each unit.

# B [Research faculty appointment cap](#Researchcap)

State what appointment cap is in effect for research faculty. In accordance with Faculty Rule [3335-7-32](https://trustees.osu.edu/university-faculty-rules/3335-7), unless otherwise authorized by a majority vote of the tenure-track faculty in a TIU, research faculty must comprise no more than 20% of the number of tenure-track faculty in the TIU. In all cases, however, the number of research faculty positions must constitute a minority with respect to the number of tenure-track faculty in the unit.

TIUs with associated faculty are to list the titles appropriate to the unit. With the approval of the tenure-track faculty, associated faculty (other than visiting faculty) may be given governance rights within the TIU. State what governance rights will be extended. Base the statement of the voting rights of associated faculty on the language in this section of the accompanying guideline POA as appropriate.

Emeritus faculty may not be given voting rights but may be asked to consult with TIU committees.

# V [Organization of TIU services and staff](#Organization)

In larger TIUs with many support personnel, OAA recommends a description of the TIU’s offices and staff and their functions. This section may not be necessary in smaller units.

# VI [Overview of TIU decision-making](#Decisionmaking)

Include a statement on how TIU guidelines and program decisions are made.

### **VII** [**TIU administration**](#Administration)

# A [TIU head](#Head)

The responsibilities of the TIU head are detailed in the accompanying POA guideline document. State clearly those matters for which the TIU head has final authority.

# B [Other administrators](#Otheradministrators)

If the TIU has other administrative positions such as vice, associate, or assistant TIU heads, describe these positions in this section.

# C [Committees](#Committees)

TIUs should develop a committee structure that assures that the time faculty members spend in committee work is time well spent. There is no model that fits all, or even most, units. Considerations include the number of faculty in the unit (the fewer the faculty, the greater the importance of a highly efficient committee structure), the complexity of the unit’s programs, and the unit’s culture. The number of committees, their size, and their intensity of effort should be consistent with the size of the TIU (fewer faculty, fewer and smaller committees) and handled with good judgment regarding faculty input on the various types of business to be conducted. When possible, probationary faculty members’ committee responsibilities should be limited to allow acclimation to the university.

Describe the TIU’s standing committee structure, including the responsibilities of each committee, who the members are, how they are selected, length of term, and how the chair is selected. If students are permitted on committees, state how they are selected and whether they may vote. There is nothing that prohibits students from voting on TIU committees, though it is good practice to exclude students from sessions that involve student confidentiality.

Units are strongly advised to have a committee that can review grievances.

An increasing number of larger TIUs have an executive committee or faculty advisory committee, the purpose of which is to provide an efficient source of advice and consultation to the TIU head on a broad array of matters. Effective use of such a committee can reduce the need for single function standing committees. Members may serve by virtue of position (associate TIU head or graduate studies chair), by appointment, by election, or a combination of these.

In accordance with [Faculty Rule 3335-6-04(B)(1)](https://trustees.osu.edu/bylaws-and-rules/3335-6), TIUs are required to have a committee of the eligible faculty that votes on personnel matters. Most TIUs have a standing committee that focuses on undergraduate curriculum and related matters, a standing committee that focuses on graduate curriculum and related matters (e.g., graduate admissions and recruitment, graduate studies), and a standing committee that provides administrative service for promotion and tenure reviews. Many TIUs also have a standing diversity, equity, and inclusion committee, and a faculty awards committee that can be used to identify internal and external awards for which their faculty may be nominated. All other standing committees are specific to TIU needs. The TIU head typically appoints members to standing committees—in part to assure a fair distribution of service effort among faculty and in part to assure appropriate membership in terms of expertise, diversity, and other considerations.

Examples of other TIU committees used across the university include committees on book selection, honors, salary, space, subfields, and technology.

Many functions occur irregularly and may be carried out by ad hoc committees. These include faculty searches and periodic curriculum review. The TIU head typically appoints members to ad hoc committees.

The TIU head is an *ex officio* member of every committee and is a non-voting member of the committee of eligible faculty and the Promotion and Tenure Committee, if such a committee exists.

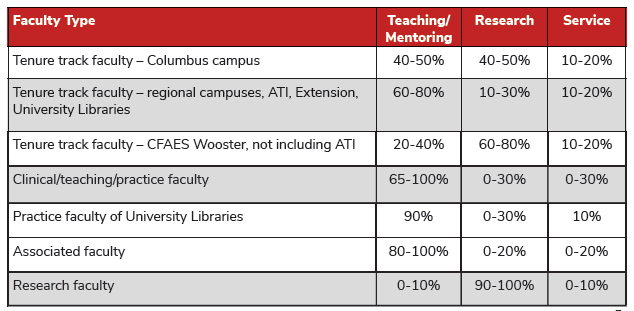
### **VIII** [**Faculty meetings**](#Facultymeetings)

TIUs should include how faculty meetings are scheduled, how faculty members are informed of meetings, and how meeting agendas and minutes are established, distributed, and maintained. Include what constitutes a quorum and what vote is required to approve those matters on which a vote is. A quorum is the required number of members present at a meeting for official action to occur. This includes taking a vote. A quorum can vary depending on the size and nature of the unit. To conduct business, most units require a majority of eligible faculty on duty in a given semester to be present. Others require a super-majority, typically two-thirds, while others require less than a majority such as 20% or 25%. OAA recommends that TIUs require a quorum of two-thirds for a vote to be valid.

[Faculty Rule 3335-5-18](https://trustees.osu.edu/bylaws-and-rules/3335-5) requires that TIU faculty meet upon call of the TIU head or dean or in accordance with the TIU POA. In any case, faculty must meet at least once each semester of the academic year.

### **IX** [**Distribution of faculty duties, responsibilities, and workload**](#Distribution)

[Faculty Rule 3335-5-07](https://trustees.osu.edu/bylaws-and-rules/3335-5) requires academic units to develop and implement a faculty workload guideline, which is to be integrated in their POA document. The TIU’s workload guidelines should be developed based on the university’s [Faculty Workload Guideline](https://faculty.osu.edu/faculty-support/equitable-policies/faculty-workload-guideline) and should describe the allocation of effort in the TIU in general terms (as opposed to that of individual faculty members). The following table provides ranges intended to guide TIU heads in developing transparent yet adaptable expectations for assigning workload to entire faculty groups.



TIU expectations regarding faculty office hours are to be included. Every member of the faculty who is assigned instruction is expected to establish and maintain regular office hours in order to be readily available to students. Any TIU guidelines that supplement college guidelines and university policies with respect to conflicts of commitment should be described, with the reader directed to the [Outside Activities and Conflicts Policy](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf).

The process of approval of a TIU’s workload guidelines should include consultation of all faculty in the unit, according to [Faculty Rule 3335-3-35](https://trustees.osu.edu/bylaws-and-rules/3335-3), and should provide enough time for faculty discussion.

See the [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook), Chapter 2, Section 1.4.3.1: Teaching, for additional information on unit guidelines on the distribution of faculty duties, responsibilities, and workload.

There should be a separate section by faculty appointment type detailing workload expectations for each of the TIU’s faculty appointment types:

**A** **[Tenure-track faculty](#Workloadtenuretrack1)**

Base the content of this section on the language in the parallel section of the accompanying POA guideline document.

**i** **[Special assignments](#Specialassignments)**

Describe any TIU guidelines that supplement college guidelines and university policy with regard to [Special Assignments](https://oaa.osu.edu/assets/files/documents/specialassignment.pdf).

**B** **[Clinical/teaching/professional practice faculty](#WorkloadCTP)**

Base the content of this section on the language in the parallel section of the accompanying POA guideline document.

**C** **[Research faculty](#Workloadresearch)**

Base the content of this section on the language in the parallel section of the accompanying POA guideline document.

**D** **[Associated faculty](#Workloadassociated)**

Base the content of this section on the language in the parallel section of the accompanying POA guideline document.

### **E** [**Modification of duties**](#Modification)

TIUs should cite their college’s pattern of administration for details of the college’s guidelines on modification of duties to assist TIU heads in making flexible arrangements for full-time faculty seeking accommodation for childbirth/adoption, care taking for an immediate family member who has a serious health condition, or a qualifying exigency arising from the employee’s immediate family member being on covered active duty in a foreign country or being called to covered active-duty status.

### **X** [**Course offerings, teaching schedules, and grade assignments**](#Courseofferings)

Describe how the unit’s course offering schedule (see the [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook)*,* Chapter 2, Section 1.5: Course Scheduling) and faculty teaching schedule are developed (see Chapter 2, Section 1.4.3: Duties and Responsibilities).

Include a statement that the TIU head will determine an appropriate course of action when an instructor has been unable to assign grades before the university deadline.

### **XI** [**Allocation of TIU resources**](#Allocation)

Describe any TIU guidelines with respect to travel funds, space assignments, and other resources (other than merit salary increases, which are discussed in the APT Document).

### **XII** [**Leaves and absences**](#Leaves)

Describe any TIU guidelines that supplement college guidelines and university policies regarding how leaves are considered and approved, and how the following absences from duty are handled:

### **A** [**Discretionary absence**](#Discretionaryabsence)

### **B** [**Absence for medical reasons**](#Medicalreasons)

### **C** [**Unpaid leaves of absence**](#Unpaidleaves)

### **D** [**Faculty Professional Leave (FPL)**](#FPLs)

### **E** [**Parental leave**](#Parentalleave)

If the TIU has no supplemental guidelines, at minimum, list each topic and direct the reader to the appropriate university policy or Faculty Rule.

The Board of Trustees (BOT) requires that every TIU have formal criteria for reviewing the merits of proposals, including procedures for peer review, for faculty professional leave submitted from faculty within their units.

### **XIII** [**Additional compensation and outside activities**](#Additionalcomp)

Describe any TIU guidelines that supplement college guidelines and university policies with respect to the circumstances under which additional compensation for university work will be considered and external professional service activities will be approved.

The [Faculty Compensation Policy](https://oaa.osu.edu/assets/files/documents/facultycompensation.pdf) defines the upper limits on what is possible, and individual TIUs are encouraged to consider whether lower limits are appropriate to their circumstances.

For purposes of the [Outside Activities and Conflicts Policy](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf), and unless otherwise set forth in the TIU guidelines or POA, a nominal honorarium for external professional activities is that which is considered usual and customary in higher education and the specific field of study. A guideline may include a maximum honorarium figure, with approval required for higher honoraria. Approval of an outside activity is at the discretion of the TIU head and dean and will be denied should a conflict of interest or commitment exist ([Outside Activities and Conflicts Policy](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf)). The TIU POA is to include additional guidelines for when an outside activity will not be approved.

TIUs are to determine a process for a faculty member to request permission to use a textbook(s) or other material that is authored by that faculty member and sale of which results in a royalty being paid to them. Generally, such a process is by way of a book selection committee and/or approval by the TIU head or dean. See the *[Policies and Procedures Handbook](https://faculty.osu.edu/faculty-support/equitable-policies/policies-and-procedures-handbook)*, Chapter 2, Section 1.10: Use of self-authored material.

### **XIV** [**Financial conflicts of interest**](#Financialconflicts)

Describe any TIU guidelines that supplement college guidelines and university policies with respect to reporting and managing potential financial conflicts of interest.

If the unit has no supplemental guidelines, direct the reader to the [Outside Activities and Conflicts Policy](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf).

### **XV** [**Grievance procedures**](#Grievanceprocedures)

Describe the TIU’s mechanisms for reviewing faculty, staff, and student grievances. The following grievances should be treated in this section:

# A [Salary grievances](#Salarygrievances) (with references to the [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook)*,* Chapter 4, Section 2 and [Employee and Labor Relations](https://hr.osu.edu/services/elr/) in the Office of Human Resources)

# B [Faculty promotion and tenure appeals](#Facultypromotionappeals) (with a reference to [Faculty Rule 3335-5-05](https://secure.ethicspoint.com/domain/media/en/gui/7689/index.html))

# C [Faculty and staff misconduct](#Facultystaffmisconduct) (with references to [Faculty Rule 3335-5-04](https://trustees.osu.edu/bylaws-and-rules/3335-5) and [Employee and Labor Relations](https://hr.osu.edu/services/elr/) in the Office of Human Resources)

# D [Harassment, discrimination, and sexual misconduct](#Harassment) (with references to the Office of Institutional Equity, the university’s [policy on affirmative action and equal employment opportunity](https://policies.osu.edu/assets/policies/Policy-AAEEO.pdf), and the [policy on nondiscrimination, harassment, and sexual misconduct](https://policies.osu.edu/assets/policies/Policy-NDH-Sexual-Misconduct.pdf))

# E [Violations of laws, rules, regulations, or policies](#Violations) (with references to the [Office of University Compliance and Integrity](https://compliance.osu.edu/concern-reporting.html) and the [Anonymous Reporting Line](https://secure.ethicspoint.com/domain/media/en/gui/7689/index.html))

# F [Complaints by and about students](#Complaints) (with a reference to [Faculty Rule 3335-8-23](https://trustees.osu.edu/bylaws-and-rules/3335-8))

# G [Academic misconduct](#_G_Academic_Misconduct) (TIUs in the health sciences colleges should include references to Board of Trustees Rule [3335-23-15](https://trustees.osu.edu/code-student-conduct/3335-23-15) and the Committee on Academic Misconduct; TIUs in all other colleges should include references to the Committee on Academic Misconduct, the Code of Student Conduct, and Board of Trustees Rule [3335-23-05](https://trustees.osu.edu/code-student-conduct/3335-23-05))

**Pattern of Administration**

**for**

**The Ohio State University**

**Tenure-Initiating Unit (TIU) XXX**

*[Include the following, if TIU requires faculty approval of governance documents:]*

Approved by the Faculty: [date]

Approved by the Office of Academic Affairs: [date]

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***Red italicized text is meant to provide guidance. It should not be included in unit governance documents.***

*Unit governance documents should substitute the term “department” or “school,” as appropriate, for “TIU.” Likewise, the term “department chair” or “school director,” as appropriate, should be used in place of “TIU head.”*

I [Introduction](#_I_Introduction)

This document provides a brief description of the TIU of XXX as well as a description of its guidelines and procedures. It supplements the [Rules of the University Faculty](https://trustees.osu.edu/bylaws-and-rules/university-faculty-rules), and other policies and procedures of the university to which the TIU and its faculty are subject. The latter rules, policies and procedures, and changes in them, take precedence over statements in this document.

This Pattern of Administration is subject to continuing revision. It must be reviewed and either revised or reaffirmed on appointment or reappointment of the TIU head. However, revisions may be made at any time as needed. All revisions, as well as periodic reaffirmation, are subject to approval by the college office and the Office of Academic Affairs.

II [TIU Mission](#_II_TIU_mission)

*Include TIU mission statement.*

*Wording here must be exactly the same as in the Appointments, Promotion, and Tenure Document.*

III [Academic Rights and Responsibilities](#_III_Academic_rights)

In April 2006, the university issued a [reaffirmation](https://oaa.osu.edu/rightsandresponsibilities.html) of academic rights, responsibilities, and processes for addressing concerns.

IV [Faculty and Voting Rights](#_IV_Faculty_and)

*Include information on clinical/teaching/professional practice and research faculty only if approved for unit.*

Faculty Rule [3335-5-19](https://trustees.osu.edu/rules/university-rules/chapter-3335-5-faculty-governance-and-committees.html) defines the types of faculty appointments possible at The Ohio State University and the rights and restrictions associated with each type of appointment. For purposes of governance, the faculty of this TIU includes tenure-track, clinical/teaching/professional practice, and research faculty with compensated FTEs of at least 50% in the TIU, and associated faculty.

The TIU of XXX makes tenure-track appointments with titles of instructor, assistant professor, associate professor, or professor. Tenure-track faculty may vote in all matters of TIU governance.

The TIU of XXX makes clinical/teaching/professional practice appointments. *[TIUs should select most the appropriate title—clinical faculty, for example—and use it throughout instead of “clinical/teaching/professional practice faculty” on each reference to this faculty type.]* Clinical/teaching/professional practice faculty titles are clinical/teaching/professional practice instructor; assistant clinical or teaching professor, professional practice assistant professor; associate clinical or teaching professor, professional practice associate professor; and clinical/teaching/professional practice professor.

*[If the TIU has voted to extend governance rights to clinical/teaching/professional practice faculty, include the following sentence.]* On DATE, the TIU faculty voted to extend governance rights to clinical/teaching/professional practice faculty. Clinical/teaching/professional practice faculty may vote in all matters of TIU governance except tenure-track faculty promotion and tenure decisions and research faculty reappointment or promotion decisions. Clinical/teaching/professional practice faculty may vote on clinical/teaching/professional practice faculty matters including appointment, reappointment, and promotion reviews. *[TIUs that appoint clinical/teaching/professional practice faculty determine the level of participation within TIU governance and administrative structures in accordance with* [*Faculty Rule 3335-7-11*](https://trustees.osu.edu/rules/university-rules/chapter-3335-7-rules-of-the-university-faculty-concerning-clinical-faculty-appointment-reappointment-and-nonreappointment-and-promotion.html)*.]* Any clinical/teaching/professional practice faculty member appointed by the unit may stand for election to serve as a representative in the University Senate subject to representation restrictions noted in [Faculty Rule 3335-7-11(C)(2)](https://trustees.osu.edu/rules/university-rules/chapter-3335-7-rules-of-the-university-faculty-concerning-clinical-faculty-appointment-reappointment-and-nonreappointment-and-promotion.html).

The TIU of XXX makes research appointments. Research faculty titles are research assistant professor, research associate professor, and research professor.

*[If the TIU has voted to extend governance rights to research faculty, include the following sentence*.] On DATE, the TIU faculty voted to extend governance rights to research faculty. Research faculty may vote in all matters of TIU governance except tenure-track faculty promotion and tenure decisions and clinical/teaching/professional practice faculty reappointment or promotion decisions. Research faculty may vote on research faculty matters including appointment, reappointment, and promotion reviews.

# A [Clinical/Teaching/Professional Practice Faculty Appointment Cap](#_A_Clinical/teaching/professional_pr)

This TIU’s appointment cap on clinical/teaching/professional practice faculty in relation to the total of tenure-track, clinical/teaching/professional practice and research faculty is established in the [college pattern of administration](http://oaa.osu.edu/governance). *[If the TIU has elected to have its own cap, state that here.]*

**B** **[Research Faculty Appointment Cap](#_B_Research_faculty)**

This TIU’s number of research faculty will be no more than 20% of the number of tenure-track faculty, with research faculty positions always constituting a minority with respect to the number of tenure-track faculty in the unit. A majority vote of the TIU’s tenure-track faculty is required to alter this appointment cap.

The TIU of XXX makes associated faculty appointments. Associated faculty titles include tenure-track faculty on less than a 50% appointment, adjunct titles, clinical of practice titles *[health sciences only]*, lecturer titles, and visiting titles. *[Indicate which titles are appropriate for the TIU.]*

*[If the TIU has voted to extend governance rights to associated faculty, include the following sentence.]* On DATE, the TIU faculty voted to extend governance rights to associated faculty, with the exception of visiting faculty. Associated faculty, with the exception of visiting faculty, may vote in all matters of TIU governance except personnel decisions. Associated faculty may not participate in the appointment, promotion and tenure reviews of tenure-track faculty, or in the appointment, reappointment, or promotion reviews of clinical/teaching/professional practice or research faculty. Visiting faculty may be invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including appointment, promotion and tenure reviews, and may not vote on any matter.

Emeritus faculty in this TIU are invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including appointment, promotion and tenure reviews, and may not vote on any matter.

Detailed information about the appointment criteria and procedures for the various types of faculty appointments made in this TIU is provided in the [Appointments, Promotion and Tenure Document](https://oaa.osu.edu/appointments-reappointments-promotion-and-tenure).

V [Organization of TIU Services and Staff](#_V_Organization_of)

*Include description of TIU offices, staff, and their functions.*

VI [Overview of TIU Decision-Making](#_VI_Overview_of)

Policy and program decisions are made in a number of ways: by the TIU faculty as a whole, by standing or special committees of the TIU, or by the TIU head. The nature and importance of any individual matter determine how it is addressed. TIU governance proceeds on the general principle that the more important the matter to be decided, the more inclusive participation in decision making needs to be. Open discussions, both formal and informal, constitute the primary means of reaching decisions of central importance.

VII [TIU Administration](#_VII_TIU_Administration)

A [TIU Head](#_A_TIU_Head)

The primary responsibilities of the TIU head are set forth in Faculty Rule [3335-3-35](https://trustees.osu.edu/rules/university-rules/chapter-3335-3-administration.html). This rule requires the TIU head to develop, in consultation with the faculty, a Pattern of Administration with specified minimum content. The rule, along with Faculty Rule [3335-6](https://trustees.osu.edu/rules/university-rules/chapter-3335-6-rules-of-the-university-faculty-concerning-faculty-appointments-reappointments-promotion-and-tenure.html), also requires the TIU head to prepare, in consultation with the faculty, a document setting forth policies and procedures pertinent to appointments, reappointments, promotion and tenure.

Other responsibilities of the TIU head, not specifically noted elsewhere in this Pattern of Administration, are paraphrased and summarized below.

1. To uphold expectations of the Leadership Philosophy:
   * Uphold Ohio State’s [Shared Values](https://oaa.osu.edu/shared-values-initiative) and engender trust through words and actions.
   * Care for people and create conditions for well-being and productivity.
   * Set clear direction and goals for their teams and align to the mission of the TIU.
   * Solve problems and support their teams to adapt to changing contexts.
   * Drive cross-functional collaborations to advance goals of the TIU.
   * Demonstrate commitment to continuous growth for themselves and their teams.
2. To have general administrative responsibility for TIU programs, subject to the approval of the dean of the college, and to conduct the business of the TIU efficiently. This broad responsibility includes the acquisition and management of funds and the hiring and supervision of faculty and staff.
3. To plan with the members of the faculty and the dean of the college a progressive program; to encourage research and educational investigations.
4. To assign workload according to the TIU’s workload guidelines (see Section IX) and faculty appointment type (and rank).
5. To evaluate and improve instructional and administrative processes on an ongoing basis; to promote improvement of instruction by providing for the evaluation of each course when offered, including written evaluation by students of the course and instructors, and periodic course review by the faculty.
6. To evaluate faculty members annually in accordance with both university and TIU established criteria; to inform faculty members when they receive their annual performance and merit review of their right to review their primary personnel file maintained by their TIU and to place in that file a response to any evaluation, comment, or other material contained in the file.
7. After consultation with the eligible faculty, to make recommendations to the dean of the college regarding appointments, reappointments, promotions, dismissals, and matters affecting the work of members of the TIU faculty, in accordance with procedures set forth in Faculty Rules [3335-6](https://trustees.osu.edu/rules/university-rules/chapter-3335-6-rules-of-the-university-faculty-concerning-faculty-appointments-reappointments-promotion-and-tenure.html) and [3335-7](https://trustees.osu.edu/university-faculty-rules/3335-7) and this TIU’s Appointments, Promotion and Tenure Document.
8. To see that all faculty members, regardless of their assigned location, are offered the privileges and responsibilities appropriate to their appointment type and rank; and, in general, to lead in maintaining a high level of morale.
9. To maintain a curriculum vitae for all personnel teaching a course in the TIU’s curriculum.
10. To see that adequate supervision and training are given to those members of the faculty and staff who may profit by such assistance.
11. To prepare, after consultation with the faculty, annual budget recommendations for the consideration of the dean of the college.
12. To facilitate and participate in prescribed [academic program review](https://oaa.osu.edu/strategic-planning/academic-unit-review) processes, in collaboration with the dean of the college and the Office of Academic Affairs.

Day-to-day responsibility for specific matters may be delegated to others, but the TIU head retains final responsibility and authority for all matters covered by this Pattern, subject when relevant to the approval of the dean, Office of Academic Affairs, and Board of Trustees.

Operational efficiency requires that the TIU head exercise a degree of autonomy in establishing and managing administrative processes. The articulation and achievement of TIU academic goals, however, are most successful when all faculty members participate in discussing and deciding matters of importance. The TIU head will therefore consult with the faculty on all educational and academic policy issues and will respect the principle of majority rule. When a departure from majority rule is judged to be necessary, the TIU head will explain to the faculty the reasons for the departure, ideally before action is taken.

B [Other Administrators](#_B_Other_administrators)

*At a minimum include information on other academic administrators including vice, associate, and assistant chairs/directors; graduate studies and undergraduate studies chairs; etc. It is also beneficial to include A&P staff positions, especially those that directly support the TIU’s academic mission.*

C [Committees](#_C_Committees)

Much of the development and implementation of the TIU’s policies and programs is carried out by standing and ad hoc committees. The TIU head is an ex officio member of all TIU committees and may vote as a member on all committees except the Committee of Eligible Faculty and the Promotion and Tenure Committee. *[If it does not have one, the TIU is urged to consider formalizing its efforts in diversity, equity, and inclusion by designating a committee charged with the oversight of that work.]*

*Describe the TIU’s standing committee structure. State under what circumstances ad hoc committees will be formed and how they will be formed.*

VIII [Faculty Meetings](#_VIII_Faculty_meetings)

The TIU head will provide to the faculty a schedule of TIU faculty meetings at the beginning of each academic term. The schedule will provide for at least one meeting per semester and normally will provide for monthly meetings. A call for agenda items and completed agenda will be delivered to faculty by e-mail before a scheduled meeting. Reasonable efforts will be made to call for agenda items at least seven days before the meeting, and to distribute the agenda by e-mail at least three business days before the meeting. A meeting of the TIU faculty will also be scheduled on written request of 25% of the faculty. The TIU head will make reasonable efforts to have the meeting take place within one week of receipt of the request. The TIU head will distribute minutes of faculty meetings to faculty by e-mail—within seven days of the meeting if possible. These minutes may be amended at the next faculty meeting by a simple majority vote of the faculty who were present at the meeting covered by the minutes.

Special policies pertain to voting on personnel matters, and these are set forth in the TIU’s [Appointments, Promotion and Tenure Document](https://oaa.osu.edu/appointments-reappointments-promotion-and-tenure).

For purposes of discussing TIU business other than personnel matters, and for making decisions where consensus is possible and a reasonable basis for action, a quorum will be defined as a simple majority of all faculty members eligible to vote.

Either the TIU head or one-third of all faculty members eligible to vote may determine that a formal vote conducted by written ballot is necessary on matters of special importance. For purposes of a formal vote, a matter will be considered decided when a particular position is supported by at least a majority of all faculty members eligible to vote. Balloting will be conducted by mail or e-mail when necessary to assure maximum participation in voting. When conducting a ballot by mail or email, faculty members will be given one week to respond.

When a matter must be decided and a simple majority of all faculty members eligible to vote cannot be achieved on behalf of any position, the TIU head will necessarily make the final decision.

The TIU accepts the fundamental importance of full and free discussion but also recognizes that such discussion can only be achieved in an atmosphere of mutual respect and civility. Normally TIU meetings will be conducted with no more formality than is needed to attain the goals of full and free discussion and the orderly conduct of business. However, Robert’s Rules of Order will be invoked when more formality is needed to serve these goals.

IX [Distribution of Faculty Duties, Responsibilities, and Workload](#_IX_Distribution_of)

*The Office of Academic Affairs requires TIUs to have guidelines on the distribution of faculty duties, responsibilities, and workload (see the OAA* [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook)*, Chapter 2, Section 1.4.3).*

Faculty roles and responsibilities are described in the initial letter of offer. Workload assignments and expectations for the upcoming year are addressed as part of the annual performance and merit review by the TIU head based on TIU needs as well as faculty productivity and career development.

During on-duty periods, faculty members are expected to be available for interaction with students, research, and TIU meetings and events even if they have no formal course assignment. Every member of the faculty who is assigned instruction is expected to establish and maintain regular office hours in order to be readily available to students. *[Insert TIU guidelines regarding holding office hours.]* On-duty faculty members should not be away from campus for extended periods of time unless on an approved leave (see section XII) or on approved travel.

Telework exception: Faculty members with responsibilities requiring in-person interaction are to work at a university worksite to perform those responsibilities. Telework and the use of remote, virtual meetings are allowed at the discretion of the TIU head if such work can be performed effectively and faculty members are able to fulfill their responsibilities. Telework will be encouraged under certain circumstances if it serves the needs of the TIU, college, university, and/or community. The TIU head has the discretion to require faculty to work on campus if there are concerns that responsibilities are not being fulfilled through telework.

The guidelines outlined here do not constitute a contractual obligation. Fluctuations in the demands and resources of the TIU and the individual circumstances of faculty members may warrant temporary deviations from these guidelines.

A full-time faculty member’s primary professional commitment is to Ohio State University and the guidelines below are based on that commitment. Faculty who have professional commitments outside of Ohio State during on-duty periods (including teaching at another institution; conducting research for an entity outside of Ohio State; external consulting) must disclose and discuss these with the TIU head in order to ensure that no conflict of commitment exists. Information on faculty conflicts of commitment is presented in the university’s [Policy on Outside Activities and Conflicts](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf).

In crisis situations, such as life-threatening disease (COVID, for example) or physical dangers (natural disasters, for example), faculty duties, responsibilities, and workload may be adjusted by the TIU head to take into account the impact over time of the crisis. These adjustments may include modifying research expectations in order to maintain teaching obligations. These assignment changes must be considered in annual reviews.

*The numbers and other specifics used in sections A – D are examples only and are given to indicate the kinds of information that should be included; specific numbers provided by individual units must be consistent with the* [*Faculty Workload Guideline*](https://faculty.osu.edu/faculty-support/equitable-policies/faculty-workload-guideline) *and approved through consultation with all faculty in the TIU.*

A [Tenure-track Faculty](#Workloadtenuretrack)

Tenure-track faculty members are expected to contribute to the university’s tripartite mission of teaching, scholarship, and service. When a faculty member’s contributions decrease in one of these three areas, additional activity in one or both of the other areas is expected.

**Teaching**

All tenure-track faculty are expected to contribute to the TIU’s teaching, including large enrollment and specialized courses in both the undergraduate and graduate curriculums. The standard teaching workload expectation for full-time tenure-track faculty members is 40-50% time allocation to total workload according to the university [workload guideline](https://faculty.osu.edu/faculty-support/equitable-policies/faculty-workload-guideline). Faculty members are also expected to advise undergraduate and graduate students and supervise independent studies and thesis and dissertation work.

Adjustments to the standard teaching assignment may be made to account for teaching a new class, the size of the class, whether the class is taught on-line or team-taught, and other factors that may affect the preparation time involved in teaching the course.

The standard teaching assignment may also vary for individual faculty members based on their research and/or service activity. Faculty members who are especially active in research can be assigned an enhanced research status that includes a reduced teaching assignment. Likewise, faculty members who are relatively inactive in research can be assigned an enhanced teaching status that includes an increased teaching assignment. Faculty members who are engaged in extraordinary service activities (to the TIU, college, university, and in special circumstances professional organizations within the discipline) can be assigned an enhanced service assignment that includes a reduced teaching assignment.

The TIU head is responsible for making teaching assignments on an annual basis and may decline to approve requests for adjustments when approval of such requests is not judged to be in the best interests of the TIU. All tenure-track faculty members must contribute to the mission of education (formal and/or informal instruction, student advising) over the course of the academic year.

*Include TIU-specific guidelines for determining increases or decreases to teaching assignment.*

**Scholarship**

All tenure-track faculty members are expected to be engaged in scholarship as defined in the TIU’s [Appointments, Promotion, and Tenure Document](http://oaa.osu.edu/governance). The standard scholarship workload expectation for full-time tenure-track faculty members is 40-50% time allocation to total workload according to the university [workload guideline](https://faculty.osu.edu/faculty-support/equitable-policies/faculty-workload-guideline). Over a four-year rolling period a faculty member who is actively engaged in scholarship will be expected to publish regularly in high quality peer-reviewed journals as well as in other appropriate venues, such as edited book chapters of similar quality and length as articles. Faculty engaged in basic or applied research are expected to attract extramural funding. When appropriate to the field of inquiry, faculty members are also encouraged to seek appropriate opportunities to obtain patents and engage in other commercial activities stemming from their research.

*Include TIU-specific guidelines for determining increases or decreases to the scholarship workload. This might include a numerical range for expected publication activity or list other activities that are part of the unit’s scholarship agenda, such as evidence of work in progress on book manuscripts or creative works or presentations or posters at national or international conferences.*

**Service**

Faculty members are expected to be engaged in service and outreach to the TIU, university, profession, and community. The standard service workload expectation for full-time tenure-track faculty members is 10-20% time allocation to total workload according to the university [workload guideline](https://faculty.osu.edu/faculty-support/equitable-policies/faculty-workload-guideline). This will typically include service on two committees within the TIU and one outside the unit. This pattern can be adjusted depending on the nature of the assignment (e.g. service as committee chair, service on a particularly time-intensive committee, organizing a professional conference, leadership in an educational outreach activity, service in an administrative position within the TIU, college, or university).

All faculty members are expected to attend and participate in faculty meetings, recruitment activities, and other TIU events.

The TIU recognizes that some of its faculty members bear an inherent additional service burden. That burden accrues when faculty members, often women and/or underrepresented colleagues, are recognized as uniquely positioned to assist with work at the TIU, college, or university levels. Such individuals may be expected to provide more service than normal because their particular expertise, perspective, or voice can help working groups, for example, or task forces or students (through their mentorship of them) understand context, options, and opportunities in new ways. This additional service burden does not derive from volunteerism. Rather, it is an unwarranted and inequitable expectation.

Service loads should be discussed and agreed to during annual performance and merit reviews. When heavy service obligations are primarily volunteer in nature, the TIU head is not obligated to modify the service load of the faculty member (reduce teaching and/or scholarly obligations). If, however, a heavy service load is due to the faculty member’s unique expertise, perspective, or voice, this should be noted in the annual performance review letter, considered when distributing the faculty member’s other duties, and taken into account for the AMC Process. The TIU head should also consider this additional service burden in managing equity of service loads among faculty.

*Include TIU-specific guidelines for determining increases or decreases to service assignment.*

i [Special Assignments](#Specialassignments1)

Information on special assignments (SAs) is presented in the Office of Academic Affairs [Special Assignment Policy](https://oaa.osu.edu/assets/files/documents/specialassignment.pdf). The information provided below supplements this policy.

Untenured faculty will normally be provided an SA for research for one semester during their probationary period. Reasonable efforts will be made to award SA opportunities to all other faculty members subject to the quality of faculty proposals, including their potential benefit to the TIU or university, and the need to assure that sufficient faculty are always present to carry out TIU work. The TIU’s committee on *[insert appropriate peer group here]* will evaluate all SA proposals and make recommendations to the TIU head. The TIU head’s recommendation to the dean regarding an SA proposal will be based on the quality of the proposal and its potential benefit to the TIU or university and to the faculty member as well as the ability of the TIU to accommodate the SA at the time requested.

B [Clinical/Teaching/Professional Practice Faculty](#WorkloadCTP1)

The TIU of XXX appoints clinical, teaching, and/or professional practice *[select title]* faculty. These appointments exist for faculty members who focus principally on the education needs for TIU XXX. The standard workload expectations for full-time clinical/teaching/professional practice faculty members are 65-100% teaching, 0-30% scholarship, and 0-30% service. Clinical/teaching/ professional practice faculty members are expected to contribute to the TIU’s research and education missions, as reflected by participation in graduate program development and teaching. Clinical/teaching/professional practice faculty members are expected to contribute to the university’s mission via teaching and service, and to a lesser extent scholarship.

C [Research Faculty](#Workloadresearch1)

Research faculty members are expected to contribute to the university’s mission via research.

In accord with Faculty Rule [3335-7-34](https://trustees.osu.edu/rules/university-rules/chapter-3335-7-rules-of-the-university-faculty-concerning-clinical-faculty-appointment-reappointment-and-nonreappointment-and-promotion.html),

*a research faculty member may, but is not required to, participate in limited educational activities in the area of his or her expertise. However, teaching opportunities for each research faculty member must be approved by a majority vote of the TIU’s tenure-track faculty. Under no circumstances may a member of the research faculty be continuously engaged over an extended period of time in the same instructional activities as tenure-track faculty.*

Standard workload expectations for full-time research faculty members are 0-10% teaching (student mentoring), 90-100% research, and 0-10% service, depending on specific expectations as spelled out in the letter of offer.

D [Associated Faculty](#Workloadassociated1)

Standard workload expectations for compensated associated faculty members are 80-100% teaching, 0-20% scholarship, and 0-20% service, depending on the terms of their individual appointments.

*See the college* [*Pattern of Administration*](https://oaa.osu.edu/appointments-reappointments-promotion-and-tenure) *for guidelines for determining associated faculty FTE exceptions to the* [*Faculty Appointments Policy*](https://oaa.osu.edu/sites/default/files/links_files/facultyappointments_1.pdf)*.*

Faculty members with tenure-track titles and appointments <50% FTE will have reduced expectations based on their appointment level.

Expectations for compensated visiting faculty members will be based on the terms of their appointment and are comparable to that of tenure-track faculty members except that service is not required.

E [Modification of Duties](#_E_Modification_of)

The TIU of XXX strives to be a family-friendly unit in its efforts to recruit and retain high quality faculty members. To this end, the TIU is committed to adhering to the College of YYY’s guidelines on modification of duties to provide its faculty members flexibility in meeting work responsibilities within the first year of childbirth/adoption/fostering, or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee’s immediate family member is on covered active duty in a foreign country or call to covered active duty status. See the [college pattern of administration](https://oaa.osu.edu/appointments-reappointments-promotion-and-tenure) for details. See also the OHR [Parental Care Guidebook](https://hr.osu.edu/wp-content/uploads/parental-care-guidebook.pdf) and the Parental Leave Policy in Section XII.

A faculty member requesting a modification of duties and the TIU head should be creative and flexible in developing a solution that is fair to both the individual and the unit while addressing the needs of the university. Expectations must be spelled out in an MOU that is approved by the dean.

X [Course Offerings, Teaching Schedule, and Grade Assignments](#_X_Course_offerings,)

The TIU head will annually develop a schedule of course offerings and teaching schedules in consultation with the faculty, both collectively and individually. While every effort will be made to accommodate the individual preferences of faculty, the TIU’s first obligation is to offer the courses needed by students at times and in formats, including on-line instruction, most likely to meet student needs. To assure classroom availability, reasonable efforts must be made to distribute course offerings across the day and week. To meet student needs, reasonable efforts must be made to assure that course offerings match student demand and that timing conflicts with other courses students are known to take in tandem are avoided. A scheduled course that does not attract the minimum number of students required by Faculty Rule [3335-8-16](https://trustees.osu.edu/rules/university-rules/chapter-3335-8-instruction.html) will normally be cancelled and the faculty member scheduled to teach that course will be assigned to another course for that or a subsequent semester. Finally, to the extent possible, courses required in any curriculum or courses with routinely high demand will be taught by at least two faculty members across semesters of offering to assure that instructional expertise is always available for such courses.

If an instructor of record is unable to assign grades due to an unexpected situation (i.e. health or travel), or if they have not submitted grades before the university deadline and are unreachable by all available modes of communication, the TIU head may determine an appropriate course of action, including assigning a faculty member to evaluate student materials and assign grades for that class. The University Registrar will be made aware of this issue as soon as it is known and will be provided a timeline for grade submission.

XI [Allocation of TIU Resources](#_XI_Allocation_of)

The TIU head is responsible for the fiscal and academic health of the TIU and for assuring that all resources—fiscal, human, and physical—are allocated in a manner that will optimize achievement of unit goals.

The TIU head will discuss the TIU budget at least annually with the faculty and attempt to achieve consensus regarding the use of funds across general categories. However, final decisions on budgetary matters rest with the TIU head.

Research space shall be allocated on the basis of research productivity, including external funding, and will be reallocated periodically as these faculty-specific variables change.

The allocation of office space will include considerations such as achieving proximity of faculty in subdisciplines and productivity and grouping staff functions to maximize efficiency.

The allocation of salary funds is discussed in the [Appointments, Promotion and Tenure Document](https://oaa.osu.edu/appointments-reappointments-promotion-and-tenure).

*Include TIU guidelines on the allocation of travel funds.*

XII [Leaves and Absences](#_XII_Leaves_and)

In general, there are four types of leaves and absences taken by faculty (in addition to parental leave, which is detailed in the [Parental Care Guidebook](https://hr.osu.edu/wp-content/uploads/parental-care-guidebook.pdf)). The university's policies and procedures with respect to leaves and absences are set forth on the Office of Human Resources [Policies and Forms website](https://hr.osu.edu/policies-forms). The information provided below supplements these policies.

A [Discretionary Absence](#_A_Discretionary_absence)

Faculty are expected to complete a travel request or a [request for absence form](https://workday.osu.edu/) well in advance of a planned absence (for attendance at a professional meeting or to engage in consulting) to provide time for its consideration and approval and time to assure that instructional and other commitments are covered. Discretionary absence from duty is not a right, and the TIU head retains the authority to disapprove a proposed absence when it will interfere with instructional or other comparable commitments. Such an occurrence is most likely when the number of absences in a particular semester is substantial. Rules of the University Faculty require that the Office of Academic Affairs approve any discretionary absence longer than 10 consecutive business days (see Faculty Rule [3335-5-08](https://trustees.osu.edu/rules/university-rules/chapter-3335-5-faculty-governance-and-committees.html)).

B [Absence for Medical Reasons](#_B_Absence_for)

When absences for medical reasons are anticipated, faculty members are expected to complete a [request for absence form](https://workday.osu.edu/) as early as possible. When such absences are unexpected, the faculty member, or someone speaking for the faculty member, should let the TIU head know promptly so that instructional and other commitments can be managed. Faculty members are always expected to use sick leave for any absence covered by sick leave (personal illness, illness of family members, medical appointments). Sick leave is a benefit to be used—not banked. For additional details see OHR [Policy 6.27](https://hr.osu.edu/public/documents/policy/policy627.pdf).

C [Unpaid Leaves of Absence](#_C_Unpaid_leaves)

The university's policies with respect to unpaid leaves of absence and entrepreneurial leaves of absence are set forth in OHR [Policy 6.45](https://hr.osu.edu/public/documents/policy/policy645.pdf). *[Include any TIU-specific guidelines.]*<http://www.hr.osu.edu/policy/index.aspx>

D [Faculty Professional Leave (FPL)](#_D_Faculty_Professional)

Information on faculty professional leaves is presented in the OAA [Policy on Faculty Professional Leave](https://oaa.osu.edu/sites/default/files/links_files/Policy-FINAL-Faculty-Professional-Leave-20220601.pdf). *[Include any TIU-specific guidelines.]*

The TIU’s committee on *[insert appropriate peer group here]* will review all requests for faculty professional leave and make a recommendation to the TIU head based on the following criteria:

*Include TIU-specific criteria for reviewing faculty professional leave requests.*

The TIU head’s recommendation to the dean regarding an FPL proposal will be based on the quality of the proposal and its potential benefit to the TIU and to the faculty member as well as the ability of the TIU to accommodate the leave at the time requested.

# E [Parental Leave](#_E_Parental_leave_1)

The university, the college, and this TIU recognize the importance of parental leave to faculty members. Details are provided in the OHR [Parental Care Guidebook](https://hr.osu.edu/wp-content/uploads/parental-care-guidebook.pdf), Paid Time Off [Policy 6.27](https://hr.osu.edu/wp-content/uploads/policy627.pdf), and the [Family and Medical Leave Policy 6.05](https://hr.osu.edu/wp-content/uploads/policy605.pdf).

*Include TIU-specific guidelines.*

XIII [Additional Compensation and Outside Activities](#_XIII_Additional_compensation)

Information on additional compensation is presented in the OAA [Policy on Faculty Compensation](https://oaa.osu.edu/assets/files/documents/facultycompensation.pdf). Information on outside activities is presented in the university’s [Policy on Outside Activities and Conflicts](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf). The information provided below supplements these policies.

This TIU adheres to these policies in every respect. In particular, this TIU expects faculty members to carry out the duties associated with their primary appointment with the university at a high level of competence before seeking other income-enhancing opportunities. All activities providing additional compensation must be approved by the TIU head regardless of the source of compensation. External consulting must also be approved. Approval will be contingent on the extent to which a faculty member is carrying out regular duties at an acceptable level, the extent to which the extra income activity appears likely to interfere with regular duties, and the academic value of the proposed consulting activity to the TIU. In addition, it is university policy that faculty may not spend more than one business day per week on additionally compensated activities and external consulting combined.

Faculty with an administrative position (for example, chair, associate/assistant dean, center director) remain subject to the [Policy on Outside Activities and Conflicts](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf) and with appropriate approval, are permitted to engage in paid external work activities. However, faculty members with administrative positions are not permitted to accept compensation/honoraria for services that relate to or are the result of their administrative duties and responsibilities.

Should a TIU faculty member wish to use a textbook or other material that is authored by the faculty member and the sale of which results in a royalty being paid to him/her/them, such textbook or material may be required for a course by the faculty member only if (1) the faculty member’s TIU head and/or dean or designee have approved the use of the textbook or material for the course taught by the faculty member, or (2) an appropriate committee of the TIU or college reviews and approves the use of the textbook or material for use in the course taught by the faculty member.

Faculty who fail to adhere to the university's policies on these matters, including seeking approval for external consulting, will be subject to disciplinary action.

XIV [Financial Conflicts of Interest](#_XIV_Financial_conflicts)

Information on faculty financial conflicts of interest is presented in the university’s [Policy on Outside Activities and Conflicts](https://policies.osu.edu/assets/policies/outside-activities-policy.pdf). A conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional judgment in exercising any university duty or responsibility, including designing, conducting or reporting research.

Faculty members with external funding or otherwise required by university policy are required to file conflict of interest screening forms annually and more often if prospective new activities pose the possibility of financial conflicts of interest. Faculty who fail to file such forms or to cooperate with university officials in the avoidance or management of potential conflicts will be subject to disciplinary action.

In addition to financial conflicts of interest, faculty must disclose any conflicts of commitment that arise in relation to consulting or other work done for external entities. Further information about conflicts of commitment is included in section IX above.

XV [Grievance Procedures](#_XV_Grievance_procedures)

Members of the TIU with grievances should discuss them with the TIU head who will review the matter as appropriate and either seek resolution or explain why resolution is not possible. Content below describes procedures for the review of specific types of complaints and grievances.

A [Salary Grievances](#_A_Salary_grievances)

A faculty or staff member who believes that his/her/their salary is inappropriately low should discuss the matter with the TIU head. The faculty or staff member should provide documentation to support the complaint.

Faculty members who are not satisfied with the outcome of the discussion with the TIU head and wish to pursue the matter may be eligible to file an appeal with the college’s Faculty Salary Appeals Committee. A formal salary appeal can also be filed with the Office of Faculty Affairs (see Chapter 4, Section 2 of the Office of Academic Affairs [*Policies and Procedures Handbook*](https://oaa.osu.edu/policies-and-procedures-handbook)).

Staff members who are not satisfied with the outcome of the discussion with the TIU head and wish to pursue the matter should contact [Employee and Labor Relations](https://hr.osu.edu/services/elr/) in the Office of Human Resources.

B [Faculty Promotion and Tenure Appeals](#_B_Faculty_promotion)

Promotion and tenure appeals procedures are set forth in Faculty Rule [3335-5-05](https://trustees.osu.edu/rules/university-rules/chapter-3335-5-faculty-governance-and-committees.html).

C [Faculty and Staff Misconduct](#_C_Faculty_and)

Complaints alleging faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule [3335-5-04](https://trustees.osu.edu/rules/university-rules/chapter-3335-5-faculty-governance-and-committees.html).

Any student, faculty, or staff member may report complaints against staff to the TIU head. The [Office of Employee and Labor Relations](https://hr.osu.edu/services/elr/) in the Office of Human Resources can provide assistance with questions, conflicts, and issues that arise in the workplace.

D [Harassment, Discrimination, and Sexual Misconduct](#_D_Harassment,_discrimination,)

The [Office of Institutional Equity](https://equity.osu.edu/) exists to help the Ohio State community prevent and respond to all forms of harassment, discrimination, and sexual misconduct.

1 Ohio State’s policy and procedures related to affirmative action and equal employment opportunity are set forth in the university’s [policy on affirmative action and equal employment opportunity](https://policies.osu.edu/assets/policies/Policy-AAEEO.pdf).

2 Ohio State’s policy and procedures related to nondiscrimination, harassment, and sexual misconduct are set forth in the university’s [policy on nondiscrimination, harassment, and sexual misconduct](https://policies.osu.edu/assets/policies/Policy-NDH-Sexual-Misconduct.pdf).

# E [Violations of Laws, Rules, Regulations, or Policies](#_E_Violations_of_1)

Concerns about violations of laws, rules, regulations, or policies affecting the university community should be referred to the [Office of University Compliance and Integrity](https://compliance.osu.edu/concern-reporting.html). Concerns may also be registered anonymously through the [Anonymous Reporting Line](https://secure.ethicspoint.com/domain/media/en/gui/7689/index.html).

F [Complaints by and about Students](#_F_Complaints_by)

Normally student complaints about courses, grades, and related matters are brought to the attention of individual faculty members. In receiving such complaints, faculty should treat students with respect regardless of the apparent merit of the complaint and provide a considered response. When students bring complaints about courses and instructors to the TIU head, the TIU head will first ascertain whether or not the students require confidentiality. If confidentiality is not required, the TIU head will investigate the matter as fully and fairly as possible and provide a response to both the students and any affected faculty. If confidentiality is required, the TIU head will explain that it is not possible to fully investigate a complaint in such circumstances and will advise the student(s) on options to pursue without prejudice as to whether the complaint is valid or not. See Faculty Rule [3335-8-23](https://trustees.osu.edu/rules/university-rules/chapter-3335-8-instruction.html).

Faculty complaints regarding students must always be handled strictly in accordance with university rules and policies. Faculty should seek the advice and assistance of the TIU head and others with appropriate knowledge of policies and procedures when problematic situations arise. <http://senate.osu.edu/committees/COAM/COAM.html>

# G [Academic Misconduct](#_E_Academic_misconduct)

*[The Moritz College of Law and TIUs in health sciences colleges should include the following sentence.]* Board of Trustees Rule [3335-23-15](https://trustees.osu.edu/code-student-conduct/3335-23-15) stipulates that the [Committee on Academic Misconduct](https://oaa.osu.edu/academic-integrity-and-misconduct) does not hear cases involving academic misconduct in colleges having a published honor code, although some allegations against graduate students fall under the committee’s jurisdiction. Accordingly, faculty members will report any instances of academic misconduct to *[insert the appropriate TIU officer]*, who will involve the Committee on Academic Misconduct, if appropriate, or will otherwise follow the TIU’s procedures for addressing allegations of violations of the professional student honor code. *[Here, please insert the web reference for the professional student honor code that applies to the TIU’s students.]*

*[TIUs in all other colleges should include the following sentence*.*]* Faculty members will report any instances of academic misconduct to the [Committee on Academic Misconduct](https://oaa.osu.edu/academic-integrity-and-misconduct) in accordance with the [Code of Student Conduct](https://trustees.osu.edu/bylaws-and-rules/code). See also Board of Trustees Rule [3335-23-05](https://trustees.osu.edu/code-student-conduct/3335-23-05).